

INDIA NON JUDICIAL Government of Karnataka

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Second Party

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SUBIN-KAKADOPKC07136636810373361

: DREVINAY MALLYA

: Article 4 Affidavit

AFFIDAVIT DECLARATION

AFFIDAVII DECEA

U

DR VIJAY MALLYA

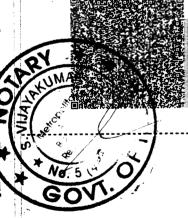
· NA

: DR VIJAY MALLYA

100

(One Hundred only)

Ass Chief Postmaster
SCSS & RD
Bangalore GPO 560001



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AFFIDAVIT FORM 26 [See Rule 4A]

For the election to Rajya Sabha / Council of States (Name of the House) from Karnataka Assembly (Name of the Constituency)

I, DR VIJAY MALLYA, son of Late Sri. VITTAL MALLYA, aged 54 years, resident of No. 3, Vittal Mallya Road, Bangalore – 560 001, resident of No. 3, Vittal Mallya Road, Bangalore - 560001, candidate at the above election, do hereby solemnly affirm/state on oath as under:

human

Statuatory Alert:

1. The authenticity of the Stamp Certificate can be verified at Authorised Collection Centers (ACCs), SHCIL Offices and Sub-registrar Offices (SROs).

2. The Contact Details of ACCs, SHCIL Offices and SROs are available on the Web site "www.shcilestamp.com"

1. The following case is pending against me in which cognizance has been taken by the Court.

1

- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 40 read with Sec. 56 of FERA Act, 1973 for non appearance before the Enforcement Directorate.
- [ii] Court which has taken cognizance: ACMM Court, Delhi.
- [iii] Case No. C.R. No. 554/2001
- [iv] Date of order of the Court taking cognizance: March 8, 2000.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; SLP (Crl) 5886/2007 before Supreme Court Stay granted by the Supreme Court on 3.12.2007. Leave was granted by the Supreme Court on 27/7/2009 and is pending final hearing.

2

- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 35B read with Section 35 I of the Wealth Tax Act 1957, for delayed filing of Wealth Tax Return for Assessment Year `1988-89.
- [ii] Court which has taken cognizance: CMM Court, Calcutta.
- [iii] Case No. C.C. No. 415/1992
- [iv] Date of order of the Court taking cognizance: August 7, 1992.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; Above proceedings has been stayed in **Cr R.P. No.** 1797/1992 by the Hon'ble **High Court** of Judicature, **Calcutta** matter pending.

3

[i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 419, 420, 467, 468, 471, 120B of IPC read with Section 46[b] and 46A[c] of Bengal Excise Act, 1909 against Excise Official for fabrication of import licence and Dr Vijay Mallya and other made accused being Airectors.

Court which has taken cognizance: 2nd Special Court, Budrwan, Asansol

No. C.R. No. 173/1987 - 48/84 dated 30.4.1984.

Date of order of the Court taking cognizance: Not Available.

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[v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; **High Court** of **Calcutta** has **stayed** all proceedings against Dr Vijay Mallya on 16.11.1987.

4

- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: **Section 138** of **Negotiable Instruments Act**, for cheque bounce of interest warrant of erstwhile McDowell Krest Finance Limited.
- [ii] Court which has taken cognizance: ACMM Court, Delhi.
- [iii] Case No. **8926/1/2004**
- [iv] Date of order of the Court taking cognizance: Not Available.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; **High Court** of **Delhi** has **stayed** all further proceedings by Order dated **17.05.2006** in **Cr. P.No. 2916/2006**.

5

- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 419/420,467/468/471/120B IPC and Section 46[b], [c] and 46[A][c] of Bengal Excise Act, 1909.
- [ii] Court which has taken cognizance: Special Court, Calcutta
- [iii] Case No. Special Case No. 1/1987
- [iv] Date of order of the Court taking cognizance: Not Available.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; **High Court** of **Calcutta** has **stayed** all proceeding against Dr Vijay Mallya and Others **C.O.12207[W] 1987**, dated **16.11.1987**.
- 2. I have not been convicted of an offence [other than any offence referred to in subsection [1] or sub-section [2], or covered in sub-section [3], of Section 8 of the Representation of the People Act, 1951 [43 of 1951] and sentenced to imprisonment for one year or more.

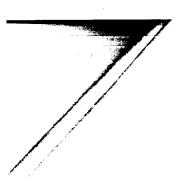
The deponent is convicted and punished as foresaid, he shall furnish the following information:

Case First Information Report No.: Not Applicable

Count which punished : Not applicable

Police Station/District/State : Not applicable

human



- Section of the concerned Act and short description of the offence for which the [iv] candidate has ever been charged: Not applicable
- Date on which the sentence was pronounced: Not applicable [v]
- Whether any sentence has been stayed by any Court of competent jurisdiction: Not [vi] applicable.

Place : Bangalore Date : June 7, 2010 Dr. Vijay Mallya

VERIFICATION

I, the above named Deponent, do hereby verify and declare that the contents of this Affidavit are true and correct to the best of my knowledge and belief, no part of it is false and nothing material has been concealed therein.

Verified at Bangalore this 7th day of June 2010.

1st Block, Jayanagar

Bangalore-560 011



INDIA NON JUDICIAL Government of Karnataka

e-Stamp

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Certificate Issued Date

Account Reference

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: SUBIN-KAKADOPKC07136620669477081

: DR VNAY MALLYA

: Article 4 Affidavit

: AFFIDAVIT DECLARATION

: 0

(Zero)

: DR VIJAY MALLYA

: NA

: DR VIJAY MALLYA

: 100

(One Hundred only)

Assa Chief Postmaster
SCSS & RD
Bangalore GPO 560001



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AFFIDAVIT

Before the Returning Officer for the election to Rajya Sabha / Council of States (Name of the House) from Karnataka Assembly

R VINAY MALLYA, son of Late Sri. VITTAL MALLYA, aged 54 years, resident of No. 3, Wittal Mallya Road, Bangalore – 560 001, resident of No. 3, Vittal Mallya Road, Bangalore – 56000, candidate at the above election, do hereby solemnly affirm/state on oath as under:

Statuston, Alart:

1. The authenticity of the Stamp Certificate can be verified at Authorised Collection Centers (ACCs), SHCIL Offices and Sub-registrar Offices (SROs).

2. The Contact Details of ACCs, SHCIL Offices and SROs are available on the Web site "www.shcilestamp.com"



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- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 40 read with Sec. 56 of FERA Act, 1973 for non appearance before the Enforcement Directorate.
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- [iv] Date of order of the Court taking cognizance: March 8, 2000.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; SLP (Crl) 5886/2007 before Supreme Court Stay granted by the Supreme Court on 3.12.2007. Leave was granted by the Supreme Court on 27/7/2009 and is pending final hearing.

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- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 35B read with Section 35 I of the Wealth Tax Act 1957, for delayed filing of Wealth Tax Return for Assessment Year `1988-89.
- [ii] Court which has taken cognizance: CMM Court, Calcutta.
- [iii] Case No. C.C. No. 415/1992
- [iv] Date of order of the Court taking cognizance: August 7, 1992.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; Above proceedings has been stayed in Cr R.P. No. 1997/1992 by the Hon'ble High Court of Judicature, Calcutta matter pending.

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- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 419, 420, 467, 468, 471, 120B of IPC read with Section 46[b] and 46A[c] of Bengal Excise Act, 1909 against Excise Official for fabrication of import licence and Dr Vijay Mallya and other made accused being Directors.
- [ii] Court which has taken cognizance: 2nd Special Court, Budrwan, Asansol
- [iii] Case No. C.R. No. 173/1987 48/84 dated 30.4.1984.
- [iv] Date of order of the Court taking cognizance: Not Available.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; **High Court** of **Calcutta** has **stayed** all proceedings against Dr Vijay Mallya on 16.11.1987.

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- [i] Section of the concerned Act and short description of the offence for which the candidate has been charged: Section 138 of Negotiable Instruments Act, for cheque bounce of interest warrant of erstwhile McDowell Krest Finance Limited.
- [ii] Court which has taken cognizance: ACMM Court, Delhi.
- [iii] Case No. **8926/1/2004**
- [iv] Date of order of the Court taking cognizance: Not Available.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; **High Court** of **Delhi** has **stayed** all further

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- [ii] Court which has taken cognizance: Special Court, Calcutta
- [iii] Case No. Special Case No. 1/1987
- [iv] Date of order of the Court taking cognizance: **Not Available**.
- [v] Details of Appeal(s)/Application(s) for revisions, etc., if any, filed against the above order of taking cognizance; **High Court** of **Calcutta** has **stayed** all proceeding against Dr Vijay Mallya and Others **C.O.12207[W] 1987**, dated **16.11.1987**.
- I have not been convicted of an offence [other than any offence referred to in subsection [1] or sub-section [2], or covered in sub-section [3], of Section 8 of the Representation of the People Act, 1951 [43 of 1951] and sentenced to imprisonment for one year or more.

If the deponent is convicted and punished as foresaid, he shall furnish the following information:

[i] Case / First Information Report No.: Not Applicable

[ii] Court which punished : Not applicable

[iii] Police Station/District/State : Not applicable

Section of the concerned Act and short description of the offence for which the

Mandidate has ever been charged: Not applicable

Date on which the sentence was pronounced: Not applicable

Whether any sentence has been stayed by any Court of competent jurisdiction: Not

applicable.

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That I give hereinbelow the details of the assets (immovable, movable, bank balance, etc.) of myself, (2) my spouse and dependents*:

Details of movable assets. A.

(Assets in joint name indicating the extent of joint ownership will also have to be given)

SI. No.	Description	Self	Spouse(s) Name(s) : Mrs. Rekha Mallya	Dependent-1 Miss Leana V Mallya	Dependent-2 Miss Tanya V Mallya
(i)	Cash Cheques in Hand	Rs.9,500 Nil			
	· idila	TOTAL : Rs.9,500	NIL	NIL	NIL
(ii)	Deposits in Banks Financial Institu- tions and Non- Banking Financial Companies	Oriental Bank of Commerce, New Delhi (Fixed Deposit) Rs.2,00,000 Bank of India, New Delhi	HDFC Bank Ltd Kasturba Road Bangalore Rs.64,242	NIL	NIL
	J. Sampanisa	(Fixed Deposits) Rs.16,77,468			
		State Bank of India, Parliament Branch, New Delhi Rs.3,54,720			
		Corporation Bank, MG Road, Bangalore Rs.81,32,146			
	·	Bank of India KG Road, Bangalore Rs.1,12,143			
		HDFC Bank Limited Richmond Road Bangalore – NRE A/c Rs.92,76,695			
RY	*	HDFC Bank Limited Richmond Road Bangalore – NRO A/c Rs.2,57,76,724			
KUA	MOX	TOTAL: Rs.4,55,29,896	TOTAL: Rs.64,242	NIL	NIL

		,	 	. "
(iii)	Bonds, Debentures & Shares in Companies	Quoted Shares -Quotation of Bombay Stock Exchange as on 06.06.10:		
		52,16,578 Equity Shares of Rs.10 each of United Breweries (Holdings) Ltd @ Rs.195.55 per share Rs.102,01,01,828		
		2,11,00,287 Equity Shares of Re.1 each of United Breweries Limited @ Rs.197.50 per share Rs.416,73,06,683		
		151,17,321 Equity Shares of Rs.10 each of Kingfisher Airlines Ltd. @ Rs.44.10 per share Rs.66,66,73,856		
		10 Equity Shares of Rs.10 each of United Spirits Ltd @ Rs.1,236.80 per share – Rs.12,368		
		2 Equity Shares of Rs.10 each of McDowell Holdings Ltd @ Rs.89.50 per share - Rs.179		
		12 Equity Shares of Rs.10 each of Great Eastern Shipping Co Ltd @ Rs.303.20 per share Rs.3,638		
		Unquoted Shares (at cost / book value)	-	
		1,200 Equity Shares of Rs.100 each of Gem Investment & Trading Co Pvt Ltd. Rs.1,20,300		
1:				



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	Bonds, Debentures	9,000 Equity Shares of			
1	& Shares in	Rs.10 each of Pharma			
l		Trading Co. Pvt. Ltd.		1	,
	Companies				
	(Contd)	Rs.63,472			•
		_			
		2,498 Equity Shares of			
ł		Rs.10 each of Devi			
İ		Investments Pvt Ltd		,	
		1			
- 1		Rs.25,101			
1					
		12,500 Equity Shares of		!	
ì		Rs.10 each of Vittal			
		Investments Pvt Ltd	·		
ļ		Rs.253			
]		13.200			
		an an aga Filith Chann of			
		39,05,830 Equity Shares of			
1		Rs.10 each of United			
		Racing & Bloodstock			
		Breeders Ltd			
Ì		Rs.3,90,58,300			
		13.5,90,50,500			
		0.070 Emilia Shares of			
ŀ		9,970 Equity Shares of			
	-	Rs.10 each of Cineblitz			
		Productions Pvt Ltd			
		Rs.1,00,200			
		173.1,00,200			
		42 200 Equity Shares of			
		42,300 Equity Shares of			
	1	Rs.10 each of Ganapathy			
		Maliya investments Pvt Ltd			
		- Rs.4,24,061			
		5,813 Equity Shares of			·
	·	Do 10 cach of Kingfisher]	
		Rs. 10 each of Kingfisher			
		Training & Aviation Services			
		Ltd			
	•	Rs.1,35,585		•	
		22,50,000 Equity Shares of			
		Rs.10 each of VJM			
		Investments Private Ltd			
		Rs.2,25,00,252			
					ļ
		TOTAL:	NIL	NIL	NIL
		Rs.591,65,26,076			
<i>(</i> : \ \	Oth 5	LIC Policies			
(iv)	Other financial				
	instruments NSS,	(Sum Assured)			
	Postal Savings,	Rs.13,50,000			
	LIC Policies				
	etc.	TOTAL : Rs.13,50,000	NIL	NIL	NIL
	0.0.				
-	I Name and a land	1989 Model Ferrari Car			
V	Motor vehicles			,	
<i></i> -	Metail vol make,	Purchased in India in March		1	
10	and a finance				
	UMA	2000 (at cost) Rs.25,00,000	NIL	NIL	NIL

* 0

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(vi)	Jewellery			004 000	E07.000
	Gold (22/18/14 Kt)	Gms. 2699.548	3754.740	224.990	567.690
	White Metal	Gms. 71.600	38.400	25.300	60.700
	Diamonds	Cts. 69.890	181.390	43.960	249.010
	Rubies/Emeralds	Cts. 99.110	178.300	13.540	
	Pearls and Beads	Gms. 113.490			100.000
	Silver Utensils	Kg. 15.500			
	Value				
	(give details of	Rs.73,87,000	Rs.1,18,18,600	Rs.46,71,600	Rs.2,09,30,100
	weight and value)				
(vii)	Other assets such	(a) Share Application			
(,	as values of claims	Money paid against			
	/ interests	which Equity Shares are	,		
	7 111101 0010	to be allotted:			
		- Mallya Private Ltd			
		Rs.1,19,50,000			
		- Kamsco Industries			•
		Private Limited			
		Rs.1,19,50,000			
		- United Racing &			
		Bloodstock Breeders			
		Ltd			,
				·	
		Rs.4,18,99,467			
		(b) Amounts due from:			
		- United Racing &			
	İ	Bloodstock Breeders			
		Limited			
		Rs.40,64,460			
		- VJM Investments			
		Private Limited			
]	Rs.3,33,431			
		- United Spirits Ltd			
		Rs.1,43,95,833			
		- Mr. Vikram Raina			
		Rs.33,56,657			
		- Estate of Late Devi	,		,
		Maliya			
		Rs.1,69,320			
		- Estate of Late Prema			
		Maliya			
		Rs.2,11,750			
		(c) 1/3 rd shares in the value			
		of Estate of Late Vittal			
		Maliya			
		Rs.2,15,89,697			
		(d) Value of Estate of Late			
		Devi Mallya			
		Rs.2,14,20,377			
		(e) Value of Estate of Late			
RY		Prema Mallya	1		
7114	(*)	Rs.1,21,13,319			
KUM		TOTAL:	NIL	NIL	NIL
14.74					1
/	. 1	Rs.14,34,54,311		<u></u>	<u> </u>

Note: Value of Bonds/Shares/Debentures as per the latest market value in Stock Exchange in respect of listed companies and as per books in the case of non listed companies should be given.

* Dependent here means a person substantially dependent on the income of the candidate.

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B. Details of Immovable Assets: [Note: Properties in joint ownership indicating the extent of joint ownership will also have to be indicated}.

SI. No.	Description	Self	Spouse(s) Name(s): Mrs. Rekha Mallya	Dependent-1 Miss Leana V Mallya	Dependent-2 Miss Tanya V Mallya
(i)	Agricultural Land - Location(s) - Survey number(s) - Extent (Total Measurement) - Current Market value	NIL	NIL	NIL	NIL
(ii)	Non-Agricultural Land: - Location(s) - Survey number(s) - Extent (Total Measurement) - Current Market value	NIL	NIL	NIL	NIL
(iii)	Buildings (Commercial and residential) - Location(s) - Survey/door number(s) - Extent (Total Measurement) - Current Market value	NIL	NIL	NIL	NIL
(iv)	House/Apartments, etc Location(s) - Survey/door number(s) - Extent (Total Measurement) - Current Market value	NIL	NIL	NIL	NIL
(v)	Others (such as interest in property)	NIL	NIL	NIL	NIL

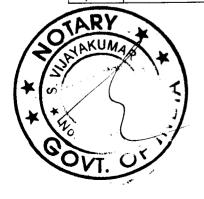


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(3) I give hereinbelow the details of my liabilities/overdues to public financial institutions and government dues:-

(Note: Please give separate details for each item.)

S. No.	Description	Name and address of Bank(s) / Financial Institution(s)/ Department (s)	Amount Outstanding As on 06.06.2010
(a) (i)	Loans from Banks	NIL	NIL
(ii)	Loans from Financial Institutions	NIL	NIL
(iii)	Government dues (a) dues to departments dealing with government accommodation	(Member of Parliament, Rajyasabha, till April 9, 2008) NIL	NIL
	(b) dues to departments dealing With supply of water	NIL	NIL .
	(c) dues to departments dealing with Supply of electricity	NIL	NIL
	(d) dues to departments dealing with Telephones	NIL	NIL
	(e) dues to departments dealing with Government transport (including aircrafts and helicopters)	NIL	NIL
	(f) Other dues, if any	NIL	NIL
(b) (i)	Income Tax including surcharge [Also indicate the assessment year upto which Income Tax Return filed. Give also Permanent Account Number (PAN)]	NIL Income Tax Return filed upto and including Asst. Year 2009-10 PAN : AENPM6247A	NIL
(ii)	Wealth Tax [Also indicate the assessment year upto which Wealth Tax return filed].	NIL Wealth Tax Return filed upto and including Asst. Year 2009-10	NIL
(iii)	Sales Tax (only in case of proprietary business)	Not Applicable	NIL
(iv)	Property Tax	Not Applicable	NIL



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My educational qualifications are as under:-(4)

> (Give details of School and University education) (Name of School / University and the year in which the course was completed should also be given)

(a) X Std [ICSE] - La Martinere for Boys, Kolkata, 1971

(b) XI Std. [ISC] - La Martinere for Boys, Kolkata, Indian School Certificate Examination, 1972

(c) B.Com (Hons) – St. Xavier's College, Kolkata, Kolkata University, 1976

(d) Ph.D. in Business Administration, Honorary, Southern California University, USA, 1997

Place: Bangalore Date: 7th June, 2010

VERIFICATION

I, the deponent above named, do hereby verify and declare that the contents of this affidavit are true and correct to the best of my knowledge and belief, no part of it is false and nothing material has been concealed therefrom.

Verified at Bangalore on this the 7th day of June, 2010.

-DEPONENT

ocate & Notary 430/A, 8th Cross 1st Block, Jayanagar Bangalore-560 011